

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 987.00)

Complete if Known	
Application Number	09/811,870
Filing Date	March 21, 2001
First Named Inventor	COLE
Examiner Name	TBA
Group / Art Unit	1645
Attorney Docket No.	01107.00108



TOTAL AMOUNT OF PAYMENT (\$ 987.00)

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																																																																				
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">19-0733</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">BANNER &amp; WITCOFF LTD</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p>Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: right;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive – unavoidable</td></tr> <tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td>Petition to revive – unintentional</td></tr> <tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td>Utility issue fee (or reissue)</td></tr> <tr><td>143</td><td>440</td><td>243</td><td>220</td><td>Design issue fee</td></tr> <tr><td>144</td><td>600</td><td>244</td><td>300</td><td>Plant issue fee</td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td></tr> <tr><td>123</td><td>130</td><td>123</td><td>130</td><td>Petitions related to provisional applications</td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td></tr> <tr><td>146</td><td>710</td><td>246</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td></tr> <tr><td>149</td><td>710</td><td>249</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td></tr> <tr><td>179</td><td>710</td><td>279</td><td>355</td><td>Request for Continued Examination (RCE)</td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td>Request for expedited examination of a design application</td></tr> <tr><td colspan="4">Other fee (specify) _____</td><td colspan="4"></td></tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (1) <span style="border: 1px solid black; padding: 2px;">(\$355.00)</span></td> <td colspan="4" style="text-align: right;">SUBTOTAL (3) <span style="border: 1px solid black; padding: 2px;">(\$ 120.00)</span></td> </tr> <tr> <td colspan="8" style="text-align: center;">*Reduced by Basic Filing Fee Paid</td> </tr> <tr> <td colspan="4">           Total Claims <span style="border: 1px solid black; padding: 2px;">68</span> -20** = <span style="border: 1px solid black; padding: 2px;">48</span> X <span style="border: 1px solid black; padding: 2px;">9</span> = <span style="border: 1px solid black; padding: 2px;">432.</span>            Independent Claims <span style="border: 1px solid black; padding: 2px;">5</span> -3** = <span style="border: 1px solid black; padding: 2px;">2</span> X <span style="border: 1px solid black; padding: 2px;">40</span> = <span style="border: 1px solid black; padding: 2px;">80.</span>            Multiple Dependent <span style="border: 1px solid black; padding: 2px;"></span> X <span style="border: 1px solid black; padding: 2px;"></span> = <span style="border: 1px solid black; padding: 2px;">0</span> </td> <td colspan="4"></td> </tr> <tr> <td colspan="4">           Large Entity Small Entity            Fee Code Fee Code Fee (\$)            101 710 201 355 Utility filing fee            106 320 206 160 Design filing fee            107 490 207 245 Plant filing fee            108 710 208 355 Reissue filing fee            114 150 214 75 Provisional filing fee         </td> <td colspan="4">           Fee Description  <span style="border: 1px solid black; padding: 2px;">355.00</span>  <span style="border: 1px solid black; padding: 2px;"></span>  <span style="border: 1px solid black; padding: 2px;"></span>  <span style="border: 1px solid black; padding: 2px;"></span>  <span style="border: 1px solid black; padding: 2px;"></span> </td> </tr> <tr> <td colspan="4" style="text-align: right;">SUBTOTAL (2) <span style="border: 1px solid black; padding: 2px;">(\$512.00)</span></td> <td colspan="4"></td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	139	130	139	130	Non-English specification	147	2,520	147	2,520	For filing a request for reexamination	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	115	110	215	55	Extension for reply within first month	116	390	216	195	Extension for reply within second month	117	890	217	445	Extension for reply within third month	118	1,390	218	695	Extension for reply within fourth month	128	1,890	228	945	Extension for reply within fifth month	119	310	219	155	Notice of Appeal	120	310	220	155	Filing a brief in support of an appeal	121	270	221	135	Request for oral hearing	138	1,510	138	1,510	Petition to institute a public use proceeding	140	110	240	55	Petition to revive – unavoidable	141	1,240	241	620	Petition to revive – unintentional	142	1,240	242	620	Utility issue fee (or reissue)	143	440	243	220	Design issue fee	144	600	244	300	Plant issue fee	122	130	122	130	Petitions to the Commissioner	123	130	123	130	Petitions related to provisional applications	126	180	126	180	Submission of Information Disclosure Stmt	581	40	581	40	Recording each patent assignment per property (times number of properties)	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	179	710	279	355	Request for Continued Examination (RCE)	169	900	169	900	Request for expedited examination of a design application	Other fee (specify) _____								SUBTOTAL (1) <span style="border: 1px solid black; padding: 2px;">(\$355.00)</span>				SUBTOTAL (3) <span style="border: 1px solid black; padding: 2px;">(\$ 120.00)</span>				*Reduced by Basic Filing Fee Paid								Total Claims <span style="border: 1px solid black; padding: 2px;">68</span> -20** = <span style="border: 1px solid black; padding: 2px;">48</span> X <span style="border: 1px solid black; padding: 2px;">9</span> = <span style="border: 1px solid black; padding: 2px;">432.</span> Independent Claims <span style="border: 1px solid black; padding: 2px;">5</span> -3** = <span style="border: 1px solid black; padding: 2px;">2</span> X <span style="border: 1px solid black; padding: 2px;">40</span> = <span style="border: 1px solid black; padding: 2px;">80.</span> Multiple Dependent <span style="border: 1px solid black; padding: 2px;"></span> X <span style="border: 1px solid black; padding: 2px;"></span> = <span style="border: 1px solid black; padding: 2px;">0</span>								Large Entity Small Entity Fee Code Fee Code Fee (\$) 101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee				Fee Description <span style="border: 1px solid black; padding: 2px;">355.00</span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span>				SUBTOTAL (2) <span style="border: 1px solid black; padding: 2px;">(\$512.00)</span>							
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																				
105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																				
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																				
139	130	139	130	Non-English specification																																																																																																																																																																																																				
147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																				
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																				
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																				
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																				
116	390	216	195	Extension for reply within second month																																																																																																																																																																																																				
117	890	217	445	Extension for reply within third month																																																																																																																																																																																																				
118	1,390	218	695	Extension for reply within fourth month																																																																																																																																																																																																				
128	1,890	228	945	Extension for reply within fifth month																																																																																																																																																																																																				
119	310	219	155	Notice of Appeal																																																																																																																																																																																																				
120	310	220	155	Filing a brief in support of an appeal																																																																																																																																																																																																				
121	270	221	135	Request for oral hearing																																																																																																																																																																																																				
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																				
140	110	240	55	Petition to revive – unavoidable																																																																																																																																																																																																				
141	1,240	241	620	Petition to revive – unintentional																																																																																																																																																																																																				
142	1,240	242	620	Utility issue fee (or reissue)																																																																																																																																																																																																				
143	440	243	220	Design issue fee																																																																																																																																																																																																				
144	600	244	300	Plant issue fee																																																																																																																																																																																																				
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																				
123	130	123	130	Petitions related to provisional applications																																																																																																																																																																																																				
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																				
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																				
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																				
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																				
179	710	279	355	Request for Continued Examination (RCE)																																																																																																																																																																																																				
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																				
Other fee (specify) _____																																																																																																																																																																																																								
SUBTOTAL (1) <span style="border: 1px solid black; padding: 2px;">(\$355.00)</span>				SUBTOTAL (3) <span style="border: 1px solid black; padding: 2px;">(\$ 120.00)</span>																																																																																																																																																																																																				
*Reduced by Basic Filing Fee Paid																																																																																																																																																																																																								
Total Claims <span style="border: 1px solid black; padding: 2px;">68</span> -20** = <span style="border: 1px solid black; padding: 2px;">48</span> X <span style="border: 1px solid black; padding: 2px;">9</span> = <span style="border: 1px solid black; padding: 2px;">432.</span> Independent Claims <span style="border: 1px solid black; padding: 2px;">5</span> -3** = <span style="border: 1px solid black; padding: 2px;">2</span> X <span style="border: 1px solid black; padding: 2px;">40</span> = <span style="border: 1px solid black; padding: 2px;">80.</span> Multiple Dependent <span style="border: 1px solid black; padding: 2px;"></span> X <span style="border: 1px solid black; padding: 2px;"></span> = <span style="border: 1px solid black; padding: 2px;">0</span>																																																																																																																																																																																																								
Large Entity Small Entity Fee Code Fee Code Fee (\$) 101 710 201 355 Utility filing fee 106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee 108 710 208 355 Reissue filing fee 114 150 214 75 Provisional filing fee				Fee Description <span style="border: 1px solid black; padding: 2px;">355.00</span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span> <span style="border: 1px solid black; padding: 2px;"></span>																																																																																																																																																																																																				
SUBTOTAL (2) <span style="border: 1px solid black; padding: 2px;">(\$512.00)</span>																																																																																																																																																																																																								

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete if applicable			
Name (Print/Type)	Sarah A. Kagan	Registration No. Attorney/Agent)	32,141	Telephone	202/508-9151
Signature				Date	August 24, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



at 4

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Philip A. COLE ) Group Art Unit: 1645  
Serial No. 09/811,870 ) Examiner: TBA  
Filed: March 21, 2001 ) Attorney Docket No. 01107.00108  
For: BISUBSTRATE INHIBITORS OF KINASES )

**RESPONSE TO FILE NOTICE TO MISSING PARTS**  
**OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)**

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated May 24, 2001, Applicants hereby submit the executed declaration for filing in the subject application. Accordingly, it is respectfully requested that a filing date of March 21, 2001, be granted to this application which is the filing date the original specification and drawings were filed with the Patent and Trademark Office. A one-month extension of time is required.

The Commissioner is authorized to charge \$987.00 to cover the following to Deposit Account No. 19-0733:

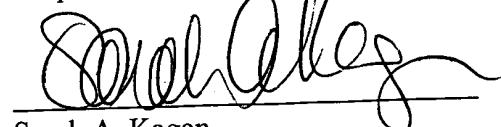
\$ 355.00 – Basic filing fee;  
\$ 432.00 – 48 extra total claims  
\$ 65.00 – Surcharge for late Declaration; and  
\$ 80.00 – 2 extra independent claims  
\$ 55.00 – One-month extension of time

09/26/2001 KZENDIE 000000048 190733 55.00 CH  
05 FC:215

In the event any variance exists between the amount authorized and the Patent Office charges,  
please charge any difference to Deposit Account 19-0733.

By:

Respectfully submitted,



Sarah A. Kagan

Registration No. 32,141

Date: August 24, 2001

BANNER & WITCOFF, LTD.  
Eleventh Floor  
1001 G Street, N.W.  
Washington, D.C. 20001  
(202) 508-9100



## UNITED STATES PATENT AND TRADEMARK OFFICE

\*3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/811,870	03/21/2001	Philip A. Cole	01107.00108

CONFIRMATION NO. 8634

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

## FORMALITIES LETTER



\*OC000000006113424\*

Date Mailed: 05/24/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$512.
  - \$432 for 48 total claims over 20.
  - \$80 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 932.

09/811870

190733

355.00	CH
65.00	CH
80.00	CH
432.00	

09/26/2001 KZEDIE

01	FC-201
02	FC-205
03	FC-202
04	FC-203

*A copy of this notice MUST be returned with the reply.*

H. T

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE